Compliance With Market Conduct Guidelines

Ameritas takes very seriously the principles and guidelines explained in this publication. If you become aware of a violation of one or more of the principles, guidelines or policies presented here, whether committed by you or by another financial professional, it is your responsibility to report that violation to the home office. Reports, preferably in writing, are to be made to the corporate compliance officer for Ameritas. All reports will be investigated in the strictest confidence.

Failure to comply with the requirements and responsibilities explained in this guide, or violation of any pertinent law, will be subject to appropriate, case-specific disciplinary or development action. This includes but is not limited to fines, at will termination and for cause termination. Additionally, be advised that the acts or omissions described in this booklet do not constitute an exclusive list of the reasons applicable to termination for cause.

Questions and Approvals

Questions regarding the contents of this guide should be directed to the corporate compliance officer for Ameritas. Whenever a situation requires interpretation and/or approval under these guidelines, you should keep a record of the interpretation or approval in your files.

Instructions

It is understood and agreed that no employment rights are created by executing the undersigned document. When viewing the electronic version of the guide, please print a copy from Producer Workbench and complete the section below.

I, _____ , have read and fully understand this Guide to Market Conduct. I agree to follow, uphold, support and promote these rules of professional ethical behavior.

X_____ _____
Signed Date

_____
Printed Name and Title

_____
Agency and Location

_____ _____
Agency Number Agent Number

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